

Risk Assessment for Southern Pine Beetle

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Abstract: Southern Pine Beetle causes significant damage (tree mortality) to pine forests. Although tree mortality displays characteristic temporal and spatial patterns, the precise location and timing of damage is to some extent unpredictable. Consequently, although forest managers are able to identify stands that are predisposed to Southern Pine Beetle damage, they are unable to avoid damage entirely. Instead they must manage this uncertainty using risk assessment tools. This chapter discusses the development and utility of these tools for managing Southern Pine Beetle.

Keywords: Damage, Hazard, Risk, Southern Pine Beetle

1. Introduction

The Southern Pine Beetle (*Dendroctonus frontalis*) (herein referred to as SPB) is the most destructive pest of southern US pine forests. SPB is estimated to have caused \$900 million worth of direct economic damage between 1960 and 1990 (Price and others 1992) and other less tangible effects to watershed, ecological and sociological forest functions. SPB damage has a characteristic spatial and temporal pattern that contributes to risk. Periodic outbreaks comprise large numbers of discrete infestations (contiguous patches of tree mortality) that cause localized damage to some forest areas but not others. Additionally, the location and timing of SPB is to some extent unpredictable. These characteristic patterns of damage ensure that some forest managers will be affected by SPB while others may not.

The values attributed to forest products and function, the spatial and temporal patterns of SPB damage and the unpredictability of damage form the central ideas of this chapter and key concepts involved in a discussion of SPB risk. Section 2 objectively defines risk based upon these concepts. Another major theme of this chapter is that risk assessment (the process of estimating and communicating risk) is part of a larger decision making process that should allow practical and effective forest management decisions to be undertaken. The scale of the SPB problem (including the geographic range of SPB and the number of different stakeholders it affects) suggests that estimates of risk should be readily interpretable and communicable to a wide variety of forest managers and for diverse management goals.

Estimating and managing SPB risk requires an understanding of the interaction between SPB and measurable properties of the forest. Over many decades, foresters have reported the common association of dense pine stands and slow tree growth with southern pine beetle outbreaks. Such observations have gradually developed into a more objective, scientific study of the interaction between SPB and the forest. Section 3 reviews this scientific literature, with the aim of identifying consistent factors that indicate SPB risk. Here, the primary focus is to address the following, basic questions:

- 1) Which silvicultural, climatic or biotic factors lead to an increased likelihood of SPB damage?
- 2) Given this information, how much SPB damage is likely to occur in a particular location during a given timeframe?
- 3) How readily can this scientific literature be interpreted, communicated and used for effective decision making?

The interpretability and communicability of risk represents a difference between ecological research designed to investigate risk factors and the dissemination of these results to practicing forest managers. One measure of the success of SPB risk research is the extent to which scientific results are used in practice. Accordingly, Section 3 concludes by assessing how results from the current scientific literature have been transformed into state of the art, decision making tools.

2. What is Risk?

Everyday definitions of risk involve two fundamental concepts: damage (or loss) and uncertainty. For example, Webster's dictionary defines the noun risk as "possibility of loss or injury" and suggests several synonyms including hazard and threat. For scientific or procedural purposes, a more precise definition of the term risk is useful. This extra precision is important for a number of reasons:

- 1) It enables risk analysts and managers to effectively communicate with each other and understand how estimates of risk have been calculated, what risk estimates actually mean and thus how they can be used to aid decision making.
- 2) A clear, unambiguous definition serves as a useful paradigm for guiding the collection of data and designing analyses to assess risk.

A common and widely adopted scientific definition of risk is that it is a quantification of expected damage (or loss) defined in time and space. In a variety of risk assessment fields, the concept of risk is further defined in terms of two principal components: the probability of an (adverse) event occurring and the damage caused by this event. For forestry applications risk has been defined as:

$$\text{Risk} = P_a \times A_d \quad \text{Equation 1}$$

Where P_a is the probability of an adverse event occurring and A_d is the amount of damage caused by the event (e.g. Mott 1963, Bredemeier and others 2000). For example, the total risk (from SPB) to a unit area of forest can be conceptualized as the probability of the area becoming infested and (or multiplied by) the amount of damage that is likely to occur as a result of this infestation. Despite the simplicity of this framework, it should also be noted that more work is needed in order to use it to assess risk practically. For example, both the probability of an adverse event occurring (P_a) and the damage caused by an event (A_d) need to be defined precisely in time and space dimensions. In the case above, definitions and units would need to be provided for the spatial extent of a stand (e.g. 1 ha), the temporal scale of the analysis (e.g. 1 year), and measurement of damage (number of trees killed). These definitions ensure that the results of the risk assessment are scaleable (can be applied to different temporal or spatial scales), comparable (to risk estimates for different areas or observations) and therefore interpretable.

Unpredictability is clearly a key concept for defining risk and also presents one of the biggest challenges to understanding exactly what risk is. Fundamental to this issue is the differentiation of risk and uncertainty. Haimes (1998) delineates risk and uncertainty as follows:

"Risk refers to a situation in which the potential outcomes can be described in objectively known probability distributions. Risk is a measure of the probability and severity of adverse effects. The term uncertainty refers to a situation in which no reasonable probabilities can be assigned to the potential outcomes. Uncertainty is the inability to determine the true state of affairs of a system."

For SPB, both the probability of an infestation occurring (P_a) and the damage caused by an infestation (A_d) are unpredictable. However, this unpredictability can be represented by objectively defined probabilities or probability distributions. This probabilistic approach conforms to an intuitive understanding of risk – i.e. although it may not be possible to predict the occurrence of an event exactly, it can be defined (summarized) well enough that it becomes useful for decision making.

2.1. Risk Assessment

Risk assessment is the process of estimating and communicating risk. It is argued that risk estimates (or indices) are ultimately a decision support tool and that the risk assessment process should involve an understanding of a specific decision maker(s) and what their outstanding risk assessment questions are. Equation 1 defines risk using two components which are (at least conceptually) both probabilistic. Since risk assessment is primarily used in situations where future events are unpredictable, fully formulated, explicit indices of risk should use probability distributions to describe the likelihood of damage occurring. For example, one might calculate as a risk output (or index) a probability density function that summarizes estimates of SPB induced tree mortality for a specified time period and spatial unit.

1 However, in practice, this is not always technologically possible and more implied estimates of risk might be
 2 appropriate. For example, either one of the components of equation 1 could be used as a risk estimate. In this case,
 3 the risk endpoint implies risk rather than describing it explicitly. For example, deterministic values that represent the
 4 'average' amount of damage or loss that might occur in the future; or categorical and relative measures of the
 5 likelihood of an event occurring (e.g. high, medium or low risk) might also be more feasible or appropriate, implied
 6 indices of risk. In all cases, the success of a risk index is dependant on a strong definition of what it actually means.

7 Risk assessments are usually required to be procedurally straightforward. For example, a forester might assess risk
 8 by measuring certain properties of a stand, enter these variables into a risk model and thus obtain an estimate of risk.
 9 However, irrespective of the accuracy of the model, the utility of the risk assessment also depends on the cost and
 10 inconvenience of collecting the necessary variables. In other words, risk models intended for practical applications
 11 need to balance predictability with ease of collecting the data required by the model (e.g. Lorio 1981).

12 The output of risk assessments should also address questions most relevant to a forester. For example, models that
 13 provide categorical and relative outputs of risk (high, medium or low) provide useful information for determining
 14 which areas of the landscape are more likely to suffer damage and therefore identify where risk reduction methods
 15 (e.g. thinning) should be prioritized. But they are unable to determine whether a risk reduction method is actually
 16 beneficial based on a cost/benefit analysis. Similarly, forest managers might want to rescale risk outputs according
 17 to the amount of land that they currently manage and a time frame that is most appropriate to them. For example,
 18 one landowner might be interested in the expected losses occurring within a 50 hectare parcel over a 10 year period,
 19 whilst another might be interested in losses for a 100 hectare plot over a 20 year period. Feasibly, both questions can
 20 be addressed using the same basic pieces of information (i.e. the conceptual model outlined in Equation 1); but only
 21 if this information is scaleable (appropriate spatial and temporal units are included) and easily interpretable (the
 22 meaning of risk indices are well defined).

23 It can be concluded that risk assessment and the development of practically useful risk models and indices is subtly
 24 different from ecological research. Nevertheless, ecological understanding of the factors that predispose forests to
 25 SPB is essential for providing effective and reliable risk assessment models. The other essential components of the
 26 risk assessment process are:

- 27 1) The identification of outstanding and important risk questions
- 28 2) Development of data collection methods and models capable of addressing these questions
- 29 3) Communication of well defined easily interpretable risk outputs

30 Section 3 critically reviews the current research into which stand and site variables that predispose forests to SPB.
 31 This review focuses upon research that provides models and summaries that directly address SPB risk. Section 3
 32 concludes with a summary of how versions of these models are used to provide effective SPB decision support tools.

33 **3. A Review of SPB Risk Assessment**

34 It is possible to assess SPB risk at a variety of spatial or temporal scales. For example, the focus of an assessment
 35 might be an individual tree, an individual stand, or a specific region (e.g. National Forest, County). Similarly, at
 36 each of these spatial scales, risk might be reported for any given time frame (e.g. a month, a year, 50 years etc). It
 37 can be seen that these scales are hierarchical – such that identifying individual tree risk should allow one to calculate
 38 stand risk which in turn could be used to calculate regional risk. In large part, the spatial and temporal scale at which
 39 risk is reported should be driven by specific, practical management questions. However, reporting the spatial and
 40 temporal dimensions of risk outputs is an important component of any assessment and allows results to be readily
 41 interpreted and rescaled for different units of time and space.

42 Three major trends stand out from the risk assessment literature. The first is that most studies concentrate on the
 43 spatial scale of a stand. This is probably driven by the fact that data collected for SPB risk models is associated with
 44 a practical need to visit infested stands and stand measurements are the basic 'building block' of forestry.
 45 Additionally, they most commonly involve models that infer stand level characteristics associated with infestations.
 46 It can be seen (Equation 1) that the probability of an infestation occurring is just one component of total risk.

1 Secondly, within this literature, risk is most often reported using relative, categorical outputs. For example, a stand
 2 might be categorized as high, medium or low risk according to the probability of it becoming infested. Correctly
 3 interpreted, these results allow forest managers to identify stands that are more likely to be infested than others, but
 4 do not provide absolute probabilities that an infestation might occur. This problem is discussed in the following
 5 sections. However, in summary categorical estimates of risk are subjective – high risk from one study may not be
 6 equivalent to high risk in another and such indices are therefore difficult to interpret for different time and spatial
 7 extents. Additionally, categorical estimates of risk do not allow managers to evaluate exactly how much damage
 8 they could incur from SPB.

9 The following sections present a detailed, critical view of the current SPB risk literature. A number of terms
 10 (including risk, hazard and susceptibility) are commonly used throughout this literature. For the purposes of this
 11 review, they are all treated as indices of risk irrespective of the terminology. The focus of the review is to delineate
 12 the results of studies based upon exactly what component of risk they attempt to measure and the spatial and
 13 temporal scales used in the analyses. The review is also limited to studies that attempt to directly attribute stand and
 14 site variables to any of these indices rather than studies that detail key ecological information about SPB but which
 15 can not, in isolation, be used to assess risk.

16 **3.1. Stand Level Risk**

17 **3.1.1. Infestation Probabilities**

18 A number of researchers have developed models that attempt to determine stand and site characteristics that
 19 predispose stands to SPB damage. Although differences in methodology make it difficult to directly compare the
 20 results of these models, tree vigor (represented by measurements of basal area and radial growth), landform and soil
 21 characteristics are key components to all these models. Disturbance of the stand (lightning, mechanical damage or
 22 wind disturbance) is also shown to be positively associated with infestations (Daniels and others 1979, Hedden and
 23 Belenger 1985, Ku and others 1980a). Tables 1 and 2 provide a list of models (discriminant analysis and logistic
 24 regression methods respectively) and the stand variables that contribute to SPB damage. It should be noted that most
 25 researchers provide a variety of models with different complexities that explore how the predictive accuracy of the
 26 models are affected by the inclusion or exclusion of certain variables. This process is useful because in practice,
 27 certain stand variables may be unavailable or difficult to measure. As previously discussed, risk assessment involves
 28 more than finding the most predictive combination of stand variables; it must also address the practical ease with
 29 which variables can be collected.

30 Although an understanding of the factors that predispose stands to SPB is an important qualitative output from the
 31 risk literature, in isolation it may not lead to fully informed decision making. A complete decision making process
 32 requires knowledge of the correlation between stand level variables and infestation incidence. For example, in
 33 practice it is important to understand how changes in a stand variable (for example basal area) might affect the
 34 likelihood of SPB damage. This would allow a manager to address whether risk reduction methods are worthwhile
 35 (is the cost of a treatment or management action offset by its benefit?). This information is provided by an
 36 evaluation of the predictive ability of a particular risk model. Interestingly, the SPB literature highlights a major
 37 dichotomy in this understanding. Some researchers claim up to 80 percent predictive accuracy of their models.
 38 However, others report that infestations occur in less than 5 percent of even ‘high hazard’ stands. The resolution to
 39 this apparent inconsistency lies with the methodologies used to collect the data to assess risk. Understanding the
 40 reasons for this dichotomy is important for interpreting the results from these stand level infestation models and for
 41 developing future risk assessment methodologies:

42 Modeling the factors that predispose stands to SPB damage requires two essential pieces of information:

- 43 1) Stand and site measurements for infested stands.
- 44 2) Site and stand characteristics of stands that *did not* become infested.

45 Without both pieces of information, logical, scientific methods can not be developed that assess the probability of
 46 infestation occurrence. A fundamental problem for SPB researchers is that forests ecosystems are extensively
 47 managed (there is lots of forest to inventory) and forestry activity (hence the potential for measurement and
 48 inventories) tend to be focused around areas that have a current SPB problem. In other words, for SPB (and many

1 other disturbances) there is a natural tendency to make detailed observations about forest condition only if a problem
 2 occurs. Accordingly, three different methods for sampling (obtaining details for both infested and uninfested stands)
 3 the forest might be proposed:

- 4 1) Delineate a complete, contiguous area of forest (for example a National Forest) and build stand and infestation
 5 inventories for all stands.
- 6 2) Collect information for all infested stands and an equal number of randomly selected non-infested stands.
- 7 3) Sample a given number of stands by selecting them randomly from a larger forested area.

8 Each of these sampling methods has advantages and disadvantages and each will also affect the methodology
 9 required to analyze data and interpret the results. Options 1 and 2 have both been used by SPB researchers to
 10 construct risk models, and it is the difference between these methodologies that lead to difficulties in interpreting the
 11 predictive ability of the resulting risk models.

12 Method 2 has been the most commonly used sampling methodology for SPB research, probably because it requires
 13 the least sampling resources. For example, Kushmaul and others (1979) used discriminant analysis to classify
 14 whether a stand became infested based on site and stand characteristics. The resulting model was then tested on an
 15 independent sub-set of the data (data that as not used to build the model) to determine the number of times that the
 16 model correctly predicts the fate of a stand based on its characteristics (predictive ability). For this study, the models
 17 yielded prediction accuracy of between 70 and 80 percent suggesting that the model is very good at determining
 18 which stands are likely to become infested. Consequently, a naïve, practical interpretation of these results suggests
 19 that stands with certain characteristics are very likely to become infested by SPB.

20 Closer inspection suggests this conclusion is not valid. Firstly, the model classifies stands as either infested or non-
 21 infested – i.e. 2 choices. It follows that one would expect to get 50 percent of classifications correct purely by
 22 chance. A 70 percent or 80 percent classification has a different practical interpretation if compared to a null model
 23 of 50 percent accuracy. However, the most serious interpretative problem with sampling method 2 is that the data
 24 (and model) misrepresents the ratio of infested versus uninfested stands occurring within the forest. Even during
 25 SPB outbreaks, the landscape comprises many more uninfested stands than infested ones and this affects the
 26 interpretation of the results. Interpreted correctly these results suggest that *if infested and uninfested stands are pre-*
 27 *selected from the landscape in equal numbers*, the predictive accuracy of the model is between 70 percent to 80
 28 percent. It could be argued that this interpretation (which is the correct interpretation given the data and the analysis)
 29 does not actually address a practically useful risk assessment question. A more appropriate question, and one that
 30 can be used to make effective decisions, should directly addresses the probability that a stand with given attributes
 31 becomes infested in a given time-period.

32 Other researchers have identified and addressed the problem of uneven sampling with updated analyses. For
 33 example, Hicks and others (1980) used a discriminant analysis and estimates of the sampling bias (between infested
 34 and uninfested stands) to determine actual infestation probabilities for stands with different attributes. Additionally,
 35 the logistic regression methodology reported by Daniels and others (1979) and Reed and others (1982) uses a
 36 methodology designed to overcome these sampling problems. However, although analyses can be modified to
 37 account for unrepresentative samples, outputs will always be sensitive to the relative sampling frequency of infested
 38 to uninfested stands. The methodology of Mason and Bryant (1984) provides the most obvious solution to this
 39 problem by delineating entire portions of the landscape and collecting data for all stands – i.e. sampling
 40 methodology 3. Although not without its own problems (for example the expense of data collection and
 41 determining an appropriate spatial scale for a study), the advantage of this method is that it encourages regular,
 42 ongoing inventories of the forest useful for assessing risk to any forest disturbance agent. In the near future, remote
 43 sensing may provide more efficient and detailed forest measurements and help overcome some of these problems
 44 and solve a fundamental problem for SPB risk assessment.

45 Table 3 shows infestation probabilities calculated by a number of researchers. In summary these rates are between
 46 0.01 and 5 percent even for ‘high risk’ stands. For example, Hicks and others (1980), using data from East Texas
 47 between 1975 and 1977, estimate infestation probabilities less than 0.01 (1 percent) even for stands with high Basal
 48 Areas (>27 m²/ha). Daniels and others (1979) report slightly higher infestation rates during an outbreak in 1975
 49 (undisclosed location), but for stands with a basal area between 20 and 35 m²/ha still only estimate infestation
 50 probabilities of between 0.01 and 0.02 (1 and 2 percent). Reed and others (1982), estimate year by year infestation

1 probabilities for east Texas ranging from 0.0043 to 0.0479 (0.4 to 4.8 percent) between 1966 and 1976 (note that
 2 parts of east Texas were under permanent outbreak conditions during this period). These estimates are based on
 3 methodologies that account for biases in sampling, and suggest that even during outbreak years the probability that
 4 any single stand will become infested is relatively low, even if the stand has attributes that predispose it to an
 5 infestation. The estimates in Table 3 are also scaleable in time and space. In other words, they can be used to
 6 estimate, for a typical outbreak year, the total risk for a collection (ownership parcel) of any number of stands. If
 7 outbreak frequency data are included, then they can be used to estimate the likelihood of an infestation occurring for
 8 any spatial extent and for any time period (for example the harvest cycle of a stand – see section 3.2). It should also
 9 be noted that although low infestation probabilities may reduce the perceived problems (risk) caused by SPB, when
 10 these numbers are rescaled for entire forests (comprising many stands) and extended time-scales these probabilities
 11 become much more significant.

12 In addition to providing practical risk information, it is argued that the magnitude of the probabilities in Table 3
 13 conforms to current knowledge of SPB. It is generally believed that SPB most readily attack and infest stressed and
 14 weakened trees. This stress might be caused by a number of factors, for example drought, mechanical damage (e.g.
 15 Hedden and Belenger 1985), lightning strikes (Coulson and others 1999, Flamm and others 1993) or flooding.
 16 Additionally, it is clear that these ‘potential’ hosts will only become infested if they can be successfully located by
 17 beetles (Paine and others 1984). Finally, any weakened and successfully attacked tree must be close to other
 18 ‘potential’ hosts (others subject to stress) if a multi-tree infestation is to develop. So ecologically, the occurrence of
 19 infestations may involve the collusion of a number of fairly rare events. Mathematically low probability events
 20 multiply to produce even lower probability events. This argument may also be important for assessing the ‘success’
 21 of these models. Given the fact that this body of research is based on stand-level measurements, it is probably not
 22 surprising that the predictive accuracy of these models is low. The resolution of forest data is driven by the practical
 23 difficulties of measuring extensive forest ecosystems (it is difficult to account for every tree in the forest).
 24 Additionally, the small size and cryptic behavior of the beetle make it difficult to measure, yet its presence or
 25 absence is undoubtedly the most important factor that contributes to an infestation occurring (Paine and Stephen
 26 1984). Arguably, models based on aggregate, stand-level data should not be expected to be highly predictive. And
 27 from a risk assessment perspective, researchers should be reassured that even small amounts of extra information
 28 (predictive accuracy) can contribute to effective decision making if it is objective, logically sound and easily
 29 interpretable.

30 **3.1.2. Infestation Growth Risk Models**

31 Assessing the probability that a stand will experience an infestation is one component of stand level risk. The
 32 expected amount of damage caused by an infestation completes a full assessment. The ultimate size of an infestation
 33 is driven by the potential for spot growth which in turn may be driven by stand, site and climatic variables similar to
 34 those that drive the initiation of infestations. But as Daniels and others (1979) point out, causal relationships
 35 important in the initiation of outbreaks (infestations) may be different from those involved in the subsequent spread
 36 of outbreaks (infestations). But like infestation dynamics, the growth and ultimate size of infestations is to some
 37 extent unpredictable. The goal of spot growth models, especially for risk assessment, is to understand the relative
 38 importance of various site factors to spot growth and to estimate the losses likely to accrue in a stand that has
 39 become infested.

40 In contrast to assessments of stand infestation, there have been fewer studies on the growth or sizes of infestations.
 41 This is puzzling, since the data required to model infestation growth should comprise mostly of information
 42 (excepting the role of beetle immigration and emigration) collected *solely within* infestations rather than for the
 43 entire forest area. It could also be argued that infestation growth and tree mortality is ultimately responsible for
 44 economic or other losses. In east Texas, the working definition of an infestation is 10 trees, but some infestations
 45 may grow to become three or four orders of magnitude larger. Understanding the factors that drive infestation
 46 growth determines overall stand damage. This level of understanding is more important for some risk assessment
 47 questions than for others. For example, over regional scales, a large number of infestations may occur. In such cases,
 48 the variability of within stand damage may average out such that ‘average’ infestation size becomes a meaningful
 49 concept. In contrast, for small private foresters that have incurred a single infestation, there may be considerable
 50 motivation to understand the amount of damage that might occur should a stand become infested.

1 Hedden and Billings (1979), used data collected over 3 years in east Texas to develop a model that was highly
2 predictive in assessing the fate of infestations (Table 4). The model uses the number of active trees at first visit to
3 determine the probability that an infestation will contain fewer than 20 active trees after 30 days. They also
4 developed a model to estimate the number of trees killed per day as a function of the initial number of infested trees
5 at the first visit, total Basal Area and the total number of infestations detected for that year (Table 4). From a sample
6 size of 62 spots, this equation gave an R^2 value of 77 percent. The model suggests that the total number of
7 infestations in the landscape has a large effect on infestation growth. All other things being equal, spots showed
8 different expansion rates for different years, with 3 times as many trees killed per brood during a severe outbreak
9 year than during the collapse of an outbreak. Models without this variable, failed to account for differences in the
10 'aggressiveness' of spot growth for different years.

11 One potential criticism of this study lies in the use of initial infestation size (number of trees killed at first visit) to
12 predict spot growth. It could be argued that if an infestation has grown large relatively quickly, then by definition it
13 is situated in a stand suitable for spot growth and more likely to continue growing large. The interpretation provided
14 by the authors is that the initial size of the infestation is important because it reflects the size of the resident beetle
15 population available to sustain spot growth without dependency on immigration from surrounding infestations. It
16 should also be noted that this difficulty arises largely as a result of the time lag between the initiation of an
17 infestation and spot detection through changes in the colour of foliage (Billings and Kibbe, 1978) – another
18 characteristic of the system that contributes to difficulties studying SPB.

19 Reed and others (1981) used the same data as Hedden and Billings (1979) to develop a new model (Table 4) that
20 explained 77 percent of the variability of spot growth. Extending the work of Hedden and Billings (1979), they
21 coupled this with a model that estimates the probability of an infestation becoming inactive after 30 days. These two
22 equations can be used to simulate and predict ultimate spot size. At the beginning of the simulation, the growth
23 equation can be used to predict the size of the spot after 30 days. The second equation can then be used to determine
24 if, after this time-period the spot is predicted to remain active. To simulate an infestation over any period, the
25 procedure is repeated for as long as the spot remains active.

26 Schowalter and Turchin (1993) addressed some the problems of the delay between infestation initiation and
27 measurements of spot growth by introducing beetles to stands to control for the timing of infestation initiation and
28 initial beetle population size. Their main conclusion is that the pine basal area of the stand significantly influenced
29 the growth of the infestations. More specifically, they found that tree mortality was significantly related to the
30 average nearest pine distances of the stand and the number of trees killed in each stand was highly variable. In all
31 cases, introduced beetles attacked trees in the stand, but sometimes these attacks were unsuccessful and did not lead
32 to infestation growth.

33 In addition to simple statistical models, mechanistic population models have been developed that explore the
34 interaction between stand characteristics and infestation growth. For example, the Arkansas Spot Dynamics Model
35 (Stephen and Lih 1985) takes basic information about the location, silvicultural characteristics of the stand and the
36 conditions of a current infestation (counts of infested trees) to project average growth of the infestation. Validation
37 of the model using data from 70 infestations suggested that predictions after 90 days are subject to a 13.3 percent
38 error. Currently, the model is in the process of being validated using a much larger data set and further developed to
39 allow it to be easily distributed to forestry professionals.

40 Another SPB spot growth model, TAMBEETLE, has been developed and described by Coulson and others (1989).
41 This model differs from the Arkansas model in that it is a spatially explicit, stochastic model of population
42 dynamics. Conceptually, the model tracks beetle populations within each tree (using temperature driven growth,
43 fecundity and survival rates); simulates the emergence and reemergence of the within-tree beetles and using this
44 information evaluates the probability that attacking beetles will be numerous enough to overcome the defenses of
45 neighboring trees. Note that this process is conceptually very similar to the one suggested by Reed and others
46 (1981), except that it accounts for much more biological detail (especially the relationship between temperature and
47 population processes), incorporates known mechanistic sub-models and runs on a time-step of 1 day instead of 30
48 days. Currently, the major problem with TAMBEETLE is that there are no published reports that detail the accuracy
49 of the model.

3.2. Regional Scale Risk Assessment

The previous sections reviewed models that could be used to analyze risk at the scale of individual stands. In most of these studies, data was obtained for a single outbreak and for a particular region where the outbreak occurred. SPB outbreaks can be conceptualized as having a frequency component (how often do outbreaks occur within a region) and a severity component (how many stands were infested as a result of that outbreak). So if strictly interpreted, because they are likely to be driven by the severity of that outbreak, infestation probabilities (and probably to some extent spot growth – see section above) are specific to a particular outbreak in a particular region. If all SPB outbreaks had the same frequency and severity, regional scale risk would not be important. But empirical evidence suggests that this is not the case. Figure 1 shows the frequency of outbreaks across the range of SPB and it is possible that the severity of outbreaks also varies considerably across the range of SPB. These patterns of outbreaks may be driven by factors such as climate, host availability (including the number of ‘high risk’ stands) and the structure (e.g. fragmentation) of the forested landscape.

The factors that contribute to regional SPB risk have added importance because of large scale, human induced changes in both climate and the ‘state’ of the forest. For example, climate change may affect the range of both SPB and its hosts thus exposing new forest stakeholders to SPB risk. Additionally, the forest is becoming more fragmented. This fragmentation concerns the physical juxtaposition of forest patches but also parcels of ownership and permeation by humans (e.g. Ritters and Wickham, 2003). Physical fragmentation may directly affect SPB population dynamics, the initiation and growth of infestations and ultimately the pattern of SPB damage while ownership fragmentation may also be significant because it has the potential to affect an individual’s interpretation of damage. For example, consider how a 100 tree mortality event might affect an individual who owns 1000 trees versus an individual owning 10000. In the first case, 10 percent of a forest managers trees (potential income) are lost whereas in the second case only 1 percent are lost. It follows that the interaction between the pattern of SPB damage (including and especially the unpredictability of mortality) and pattern of forest ownership is an important factor for SPB risk research.

Regional scale risk assessment requires an understanding of how climate, forest and other relevant factors affect the larger scale spatial and temporal patterns of SPB damage. For example, quantifying the effects of regional climate and vegetation patterns on the severity and frequency of SPB outbreaks would allow extrapolation of stand level infestation probabilities for any region of the SPB range and may also be important for assessing risk in the light of regional changes in forest structure and composition. Similarly, an understanding of the contagion of infestations would allow stand level infestation probabilities to be estimated throughout the course of an outbreak based on the location of a focus stand relative to existing infestations.

Most regional risk studies have focused on the effects of climate change. For example, Gumpertz and others (2000) use a logistic regression analysis to investigate the frequency of infestations in North Carolina, South Carolina and Georgia. A number of regional scale forest, physiographic and climatic variables were used in the model including estimates for the volume of timber grown in the county (pole timber and sawtimber), the proportion of habitat classified as xeric, mesic or hydric; a number of average climatic variables for the county; the amount of land in one of five ownership classes; and three locational parameters: mean elevation, latitude and longitude. The model accounts for and found significant spatial and temporal autocorrelation effects, suggesting that the location of outbreaks in the previous year were good predictors of where outbreaks were likely to occur in the next year. Because of the large number of explanatory variables used in the analysis, the coefficients of the model are probably unable to provide conclusive information about which of these is most important. However, validation of the model based on 5 years of new data successfully predicted the occurrence of outbreaks and non-outbreaks 64 percent and 82 percent of the time respectively. Furthermore, the authors argue that many of the independent variables do have some ecological relevance. For example, the amount of saw timber in a county was considered a more useful explanatory variable than the amount of pole timber because SPB preferentially attack larger, more mature trees.

Gan (2004) performed regional based risk assessment that explores the influence of selected county level variables on total SPB damage. A panel data approach was used to model the proportion of timber killed in each county of the Southern US over a 23 year period. Since, the main focus of the work was to investigate the effects of climate change on beetle distribution and SPB risk, all but one of the independent variables used were related to current or lagged weather measurements. The model provided a good fit to the data (a R^2 of 97.5 percent), and suggests that both current and lagged weather variables are important factors that contribute to SPB damage. The author

1 concludes that SPB risk might be increased by an average of 2.5 – 5 times for a range of predicted climate change
2 scenarios.

3 **3.3. Risk Models in Practice**

4 One measure of the success of risk models is the extent to which they are actually used to aid practical decision
5 making. This criterion is not absolute (conceivably, poor models might prove useful for extended periods before
6 their problems are realized). However, risk assessment is a practical process, and as many authors have noted (e.g.
7 Kushmaul and others 1979), for a model to be practically useful, it must attain a balance between predictive ability
8 and the amount of effort required to obtain the inputs (information) necessary to produce outputs. A review of
9 current, procedurally used models (and who uses them) may indicate appropriate levels of detail relevant for
10 different user groups, and identify characteristics that lead to utility.

11 One of the most common, practical uses of stand risk models is to help allocate federal funds in ‘cost share’
12 programs that offer financial rewards to foresters who engage in good management practices. For example, in east
13 Texas, thinning operations qualify for cost sharing if (among other factors) landowners own between 10 and 5000
14 acres and if stands have greater than 70 percent Loblolly, Shortleaf or Slash Pines and two risk models are also used
15 to determine qualification for ‘cost share’ programs. The first is a Texas Forest Service defined grid (approximately
16 8.5 x 8.5 km cells) covering east Texas that rate geographically driven SPB risk (TFS uses the term Hazard) as Very
17 Low, Low, Moderate, High or Extreme (see Figure 2). Billings and others (1985) describe the methodology used to
18 develop these grid block ratings, which are periodically updated to reflect changes in the forest landscape. A stand
19 must be located in a Moderate, High or Extreme Hazard stand in order to qualify for cost share. The second model is
20 site specific and based on basal area and landform. If these conditions are met and the stand is reduced to no more
21 than 80 square feet per acre (approx 18.5 m²/ha), then owners can claim up to \$75 per acre for pre-commercial
22 thinning or \$50 per acre for pulpwood first thinning to offset the costs of the operation (Texas Forest Service
23 document TFS 3/06/5000 should be consulted for more details).

24 Similar cost share programs are administered by states across the range of Southern Pine Beetle. The aim is to
25 provide incentives to individuals to reduce hazard across broad landscapes. The two step evaluation process used by
26 the Texas Forest Service suggests that risk is conceptualized as a property of both the local area that a stand is
27 situated in (based on analysis of the forest landscape and past infestation history) and the potential for damage based
28 on measured characteristics of the specific stand. Both models have strong ties to the scientific risk literature but
29 have also been presented in a way that makes them easy to use and understand. For both the Texas Forest Service
30 and small private foresters (non-industrial private foresters) who use the model, the goal and purpose of the risk
31 analysis is very clear – to determine whether a stand reaches a predetermined risk criteria that qualifies it for federal
32 dollars. Irrespective of its predictive accuracy, it could be argued that the benefit of this model is that it facilitates
33 effective communication between landowner and the Texas Forest Service which in turn leads to efficient decision
34 making.

35 During outbreaks (especially on federal lands where full time foresters are available) the focus of SPB management
36 turns to the control of infestations rather than prevention. While infestation probabilities may be relatively small (see
37 previous section), the scale of the forest landscape ensures that large numbers of spots may be detected in relatively
38 short periods of time. So as an outbreak develops, the net result is an overwhelming number of infestations, often in
39 remote areas. Additionally, the extended time periods between outbreaks may result in foresters with limited SPB
40 experience or ‘expert knowledge’ having to visit, assess and ultimately make decisions about these infestations.
41 These decisions center on control of infestation, salvaging of dead timber and restoration of the damaged stands all
42 of which are dependant on future infestation growth. These decisions might involve estimates of direct economic
43 damage; whether there is a possibility that an infestation will grow and cross an ownership boundary and present
44 possible legal problems; or whether the infestation is likely to impact especially high value or highly protected trees
45 such as Red Cockaded Woodpecker colonies or seed orchards. Under these situations, widely distributable,
46 quantitative and easy to use infestation growth models often provide valuable decision support tools.

47 Two such models are widely used – the Texas Forest Service spot growth model (Figure 3) and the Arkansas Hog
48 Model. The advantage of the former, based on work by Hedden and Billings (1979) lies in its simplicity. Using the
49 Basal Area of the stand and the number of actively infested trees as the only input variables (both rapidly available
50 by observation) it estimates the expected number of trees killed after 30 days. In addition, the model itself is simple

1 to use and easy to distribute to foresters faced with active infestations. The limitations of the model are that it is
 2 specific to east Texas (although other models could easily be created for other regions) and that it presents a rather
 3 simplistic approach to estimating the likely trajectory of the infestation. The Arkansas hog model is by contrast a
 4 more complex, mechanistic based model of population growth that provides estimates of infestation growth for any
 5 region in the south and can be distributed as a stand alone PC based or web based program (Stephen and Lih 1985).
 6 Again, inputs to the model are relatively simple, easily observable site characteristics and outputs are 'average'
 7 projections of infestation growth based on a validation of the model against independent data.

8 Probably the most ambitious and largest practical forestry risk assessment exercise is currently being conducted (as
 9 an ongoing process) in order to assess risk for the entire contiguous United States and Alaska (at a resolution of
 10 1km²) and for every major forest pest and disease (including SPB). The stated goal of this risk assessment,
 11 undertaken as an ongoing process by the Forest Health Monitoring (FHM) Program of the USDA Forest Service, is
 12 to provide a strategic assessment for risk of tree mortality due to major insects and diseases. Specifically, one of the
 13 major objectives of the program is to "construct a risk modeling framework such that the resulting products may be
 14 easily linked with other risk mapping efforts (e.g. threat of wildland fire)" and in accordance with 5 general
 15 principles:

- 16 1) An integrative process that includes multiple risk models.
- 17 2) A Transparent and repeatable risk assessment process.
- 18 3) Scalability allowing risk to be assessed at different spatial scales as more data and models become available.
- 19 4) A procedurally efficient and straightforward risk assessment process that ensures the project is both realistic and
 20 that provides outputs that are readily interpreted by a variety of stakeholders.
- 21 5) A standardized approach that allows comparisons across geographic regions and for different threats.

22 Crucially, the project provides an explicit definition of risk based on the principle that any forest experiences a
 23 background level of tree mortality and that levels above this constitute unacceptable 'damage'. They define damage
 24 as follows:

25 "...our threshold value for mapping risk of mortality is defined as the expectation that, without remediation, 25
 26 percent or more of standing live BA greater than 1 inch in diameter will die over the next 15 years (between years
 27 2005 and 2020) due to insects and diseases."

28 Their definition of total risk and the risk index that they actually use is:

29 ".....risk is often composed of two parts: the probability of a forest being attacked and the probability of resulting
 30 tree mortality, referred to as susceptibility and vulnerability, respectively (Mott 1963). Assigning the probability of
 31 insect and disease activity to specific locations requires data that is frequently lacking. Therefore, a probabilistic
 32 assessment was not undertaken for the 2006 risk mapping project, and we define risk as the potential for harm due to
 33 exposure from an agent(s)".

34 The risk assessment outputs are maps detailing the expected Basal Area loss per 1km x 1km grid (see Figure 4 for
 35 the map detailing SPB damage). These maps provide a visually appealing overview for forest managers interested in
 36 the aggregate 'health' of the forest from a national, state or county scale. The upfront definition of risk and the
 37 resolution of the maps are ideally suited to strategic decision making and allows the results to be readily interpreted.
 38 For example, the maps show 'potential for damage' based on site characteristics rather than full expectations of
 39 damage. But since the maps are designed to show the likelihood of damage over a 15 year period (a time scale long
 40 enough that infestations are likely to occur), it could be argued that this measure is an effective surrogate for actual
 41 risk and the decision making process is likely to benefit from this simplicity. Although the resolution of the FHM
 42 study is not designed to be particularly useful for individual landowners, eventually the decisions made using such
 43 outputs are likely to cascade down to individual stakeholders. For example, the cost share program discussed earlier
 44 requires effective decision making in order that adequate federal funds can be allocated to the administering states.

45 4. Conclusions

46 Forest management is driven by the value of forest ecosystems that can be impacted by a number of disturbances
 47 including SPB. Management of SPB is complicated by its wide geographic range, unpredictability, and the extensive

1 nature of forest ecosystems. This review suggests that it is not possible to predict exactly where SPB damage will
 2 occur, but knowledge exists that can be used to identify which areas of the forest are most likely to be damaged, and
 3 how much damage can be expected. The goal of risk assessment is to assimilate this knowledge and provide outputs
 4 that characterize this uncertainty and enable forest managers to effectively manage SPB.

5 A large body of research has shown that certain site and stand characteristics predispose trees to attack. These
 6 include the silviculture of the stand (particularly the density and radial growth of trees), damage events (for example
 7 lightning, logging damage) and site characteristics such as slope and drainage. Understanding the role of each factor
 8 allows management options to be identified that can be employed in order to minimize risk. But effective decision-
 9 making also requires estimates of the total amount of damage that one might expect under different management
 10 scenarios. These estimates allow an assessment of whether the cost of management actions will be offset by
 11 reductions in risk. They also inform forest managers of the potential problems caused by SPB - it could be argued
 12 that SPB damage is more palatable if risks are known upfront. One finding of this review is that more emphasis is
 13 currently placed upon minimizing SPB damage rather than providing outputs that allow complete risk management.

14 During outbreaks, the probability of even high risk stands becoming infested is relatively low (between 0.01 and 5
 15 percent per outbreak). These low infestation probabilities suggest that relationships between measurable stand
 16 condition and infestation probabilities are relatively weak. Models for the growth of infestations (i.e. the severity of
 17 an infestation) are less common, but also suggest inherent unpredictability. Explanations for this, and the practical
 18 consequences for individuals tasked with managing the forest have been discussed in previous sections. But this
 19 unpredictability also has considerable implications for those in charge with managing and contributing to the risk
 20 assessment process. In many ways, this unpredictability emphasizes why objective, communicable risk assessments
 21 are so important. It is argued that without organized, well funded approaches to risk assessment, individual forest
 22 managers are unlikely to be able to attain an unbiased, objective and accurate view of SPB risk:

- 23 1) Outbreaks are periodic and relatively rare such that most individuals will experience relatively few during a
 24 lifetime.
- 25 2) Individuals are most likely to gather experience and knowledge from observations in their own stands. As the
 26 literature shows, it is inherently possible that a poorly managed stand will escape SPB damage and conversely
 27 that a well managed stands incurs damage.
- 28 3) An objective assessment of risk depends upon balanced information of both infested areas and those that
 29 escaped infestation.
- 30 4) The unpredictability of SPB ensures that accurate and objective assessments require considerable amounts of
 31 data. It is unlikely that an 'average' forest manager will have the resources to make these unbiased observations.

32 Considering the unpredictability of SPB, it could also be argued that without these risk assessments (and the
 33 objectivity they provide) it would be difficult to formulate effective plans for managing SPB damage. For example,
 34 since the initiation and growth of an infestation in one area of the forest may lead to damage elsewhere, SPB is most
 35 commonly viewed as a problem that affects (human) communities rather than just isolated individuals (Coulson and
 36 Stephen 2006). Although preventative management (e.g. basal area reduction) can not guarantee zero damage, it
 37 may considerably reduce total damage at the regional scale. In other words, although the unpredictability and spatio-
 38 temporal patterns of SPB may always lead to 'winners' and 'losers', a community level approach to SPB
 39 management can at least attempt to minimize the number of individuals affected by SPB. Additionally, SPB is just
 40 one of many threats to forests. Like SPB, most of these (e.g. fire, hurricane, and other biotic agents) are
 41 unpredictable and ideally suited to risk assessment. As defined in this chapter, risk involves not just the pattern of
 42 SPB damage, but also concepts and quantifications of the damage (both economic and sociological) caused by SPB.
 43 As human interests encroach further into forested areas they may also affect the values attributed to these forests and
 44 the amount of risk they are willing to accept. This is likely to drive increasingly critical decision making that
 45 involves an objective, comparable evaluation of all potential forest threats.

46 These factors make the development of objective, scientific SPB risk assessments essential. The challenge for
 47 ecologists and risk assessors is to develop novel, models and assessments that address the current and changing
 48 needs of forest managers. This depends on continued efforts to collect appropriate data, and the development of
 49 modeling methodologies that assimilate this information into useful risk indices and decision making tools.

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1 **Table 1-- Discriminant Analysis Models for Stand Risk Rating**

<i>Author (location)</i>	<i>Model</i>	<i>Notes</i>
Kushmaul and others (1979) Louisiana, Mississippi, and Texas Gulf Plain	$DS = 2.33550 - 0.01906 (PINEBA) + 0.01484 (RAD) - 0.00829 (UNDER) - 0.00613 (SOIL) - 1.71662 (BARK).$ $DS < -0.13514 = \text{Infested}$ $DS = 3.06135 - 0.018342 (PINEBA) - 0.00705 (AGE) - 0.00002 (DENSITY) - 0.00880 (SITE) - 0.04085 (TOTALBA)$ $DS < -0.12736 = \text{Infested}$ $DS = 0.93080 - 0.02004 (PINEBA) + 0.01827 (RAD)$ $DS < -0.12917 = \text{Infested}$ Where: PINEBA = Pine Basal Area (ft ² /acre) TOTALBA = Total Basal Area (ft ² /acre) AGE = Age of Pines (years) RAD = average 10 year radial growth UNDER = Understory % SOIL = Surface Soil Depth BARK = Bark thickness (cm) DENSITY = Stand Density (stems/acre) SITE = Site Index (base age 50)	73% accuracy for infested plots and 75% for uninfested plots N = 35 (15 infested and 20 noninfested plots) Correctly classified 80% of the infested and 70% of the uninfested plot subsets Correctly classified 93% of the infested plot subset, 65% of the uninfested subset.
Ku and others (1980a and b) Arkansas	$DS = -1.50 (TOTALBA) + 3.3 (AGE) + 64.3 (RAD) + 0.93 (HARDBA).$ $DS > 100 = \text{Low susceptibility}$ $1 < DS < 100 = \text{Medium susceptibility}$ $DS < 1 = \text{High Susceptibility}$ Where: TOTALBA = Total Basal Area (ft ² /acre) AGE = Stand Age (years) HARDBA = Hardwood Basal Area (ft ² /acre) RAD = Average Radial Growth in cm (10yr)	75% accuracy N _{subset} = 268
Porterfield and Rowell (1980 unpublished) Texas to Virginia	$DS = 1.02559 - 0.00043 (VOLUME) + 1.33776 (SAW) - 2.14726 (BARK) + 0.01878 (RAD) + 0.03205 (SLOPE) - 0.00791 (PINEBA)$ $DS < 0.0442 = \text{Infested}$ Where: VOLUME = Total Volume in ft ³ (> 4.6 inches DBH) SAW = pines > 9.6 ft ³ as proportion of VOLUME BARK = Average Bark Thickness (nearest 0.1 inch) RAD = 10 years radial growth (mm breast height) SLOPE = Ground Slope (%) PINEBA = Proportion of total BA in pine	79% accuracy N = 1021 547 infested and 474 uninfested plots 74% accuracy N _{subset} = 119 (69 SPB-infested, 50 noninfested)
Hicks and others.	$DS = -0.51161(BT) + -0.51526(PBA) + -0.40455(AH) +$	79% Accuracy

(1980)	$0.17528(\text{LAF}) + 0.13538(\text{SI}) + 0.17002(\text{ADBH})$ $+ 0.12525(\text{RGI}) + 0.18884(\text{TSD}) + 0.10389(\text{SST}) +$ $0.10514(\text{SUBST}) + 0.08937(\text{WR}) + 0.07829(\text{HBA})$ <p>Unknown DS classification</p>
	<p>Where:</p> <p>BT = Bark Thickness (cm)</p> <p>PBA = Pine basal area (m²/ha)</p> <p>RGI = Radial growth Increment (last 5 years)</p> <p>LAF = Landform</p> <p>AH = Average height (m)</p> <p>ADBH = Average DBH (cm)</p> <p>HBA = Hardwood basal area</p> <p>SI = Site Index (m)</p> <p>SST = Surface Soil Texture</p> <p>TSD = Topsoil Depth</p> <p>SUBST = Subsoil Texture</p> <p>WR = Water regime</p>

1

2

1 **Table 2 -- Logistic regression models for determining infestation probabilities of**
 2 **stands**

Author (location)	Model	Notes
Daniels and others (1979) (unknown location)	$P = 1 / (1 + e^{-(8.599 + 0.044 (BA) + 3.309 (PINEBA))})$	Undisturbed non-plantation stands
	$P = 1 / (1 + e^{-(9.998 + 0.088 (BA) + 4.801 (PINEBA))})$	Disturbed non-plantation stands
	Where: P = Probability of infestation BA = Total Stand Basal Area PINEBA = Proportion of total Basal Area in Pine	No goodness of fit specified
Zarnoch and others (1984) (Central Louisiana)	$P = 1 / (1 + e^{[4.900 - 0.030 (AGE) - 0.004 (SIZE)]})$	
	Where: P = Estimated probability of SPB infestation over 8 years AGE = Age of Substand SIZE = Size of Substand (Acres)	No goodness of fit specified

3

4

1 **Table 3 -- Summary of stand-level infestation probabilities during outbreaks**

<i>Author</i>	<i>Location, Year</i>	<i>Infestation Frequency</i>	<i>Units</i>	<i>Basal Area or Risk Range</i>
Lorio and others (1982)	Kisatchie National Forest, Louisiana	13.4	Infestations per 1000 ha	High risk
		6.8		Medium risk
		3.2		Low risk
	East Texas, 1973- 1978	9.9	Infestations per 1000 ha	Very High risk
		5.8		High risk
		3.9		Moderate risk
		2.7		Low risk
Hicks and others (1980)	East Texas, 1975 1976	0.002055	Infested area/Total Host Area	All host types
		0.004169		All host types
	1977	0.001706	Host Area	All host types
	All Years by BA	0.000070	probability of infestation per ha	0.0 -9.2 (m ² /ha)
		0.000228		9.3-18.4 (m ² /ha)
		0.000658		18.5-27.5 (m ² /ha)
	Daniels and others (1979)	Unknown, 1975	0.001257	Probability of infestation (Undisturbed stands)
0.008300			11.48 (m ² /ha)	
0.013700			22.96(m ² /ha)	
0.022600			34.4 (m ² /ha)	
		0.037100	Probability of infestation (Disturbed stands)	45.93 (m ² /ha)
		0.015000		11.48 (m ² /ha)
		0.047800		22.96 (m ² /ha)
	0.131200		34.4 (m ² /ha)	
	0.312600		45.93 (m ² /ha)	

2

3

1 **Table 4 -- Summary of simple spot growth models**

Author	Model	Notes
Hedden and Billings (1979)	Probability that an infestation will contain < 20 trees after 30 days = $1 / (1 + \exp(-11.13 + 3.53 \log_e (AT)))$	
	Trees Killed per day = $-1.78627 + 0.02475(IAT) + 0.02765(TBA) + 0.14229(POP)$	
	<i>Where:</i> IAT = Number of trees under attack at first visit TBA = Total Basal Area in m ² /Ha and the total number of infestations detected for that year POP = Total number of surrounding infestations in the landscape	
Reed and others (1981)	Probability of spot becoming inactive (next 30 days) = $1 / (1 + \exp(-1.04 + 0.06AT))$	
	natural logarithm of trees killed per day = $TK/D = 3.435 + 0.965 \log_e (AT) - 2.847 (\log_e DBH) - 22.137 (TBA/DBH^2) + 0.0736 (TBA) + 0.558 (POP)$	
	<i>Where</i> TK/D = predicted natural logarithm of trees killed per day AT = natural logarithm of the number of attacked trees at the start of the simulation period DBH = the mean DBH of the stand (cm) at the start of the year TBA = Total Basal Area of the stand (m ² /ha) at the start of the year POP = Number of spots per 405 ha (1000 ac) of host type for the entire region during the year being examined AT = number of affected treed at the beginning of a 30 day period.	

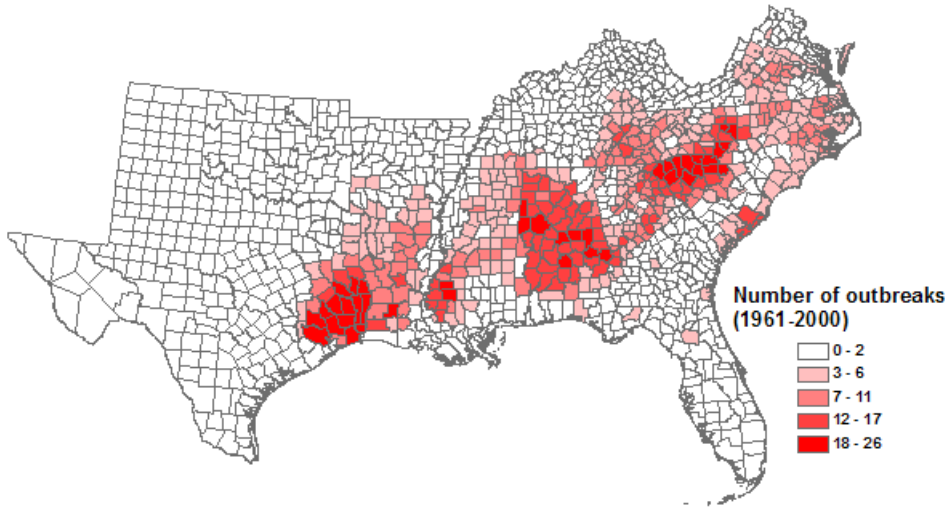
2

3

1

2 **Figure 1 -- Frequency of SPB outbreaks by county between 1961 and 2000.**

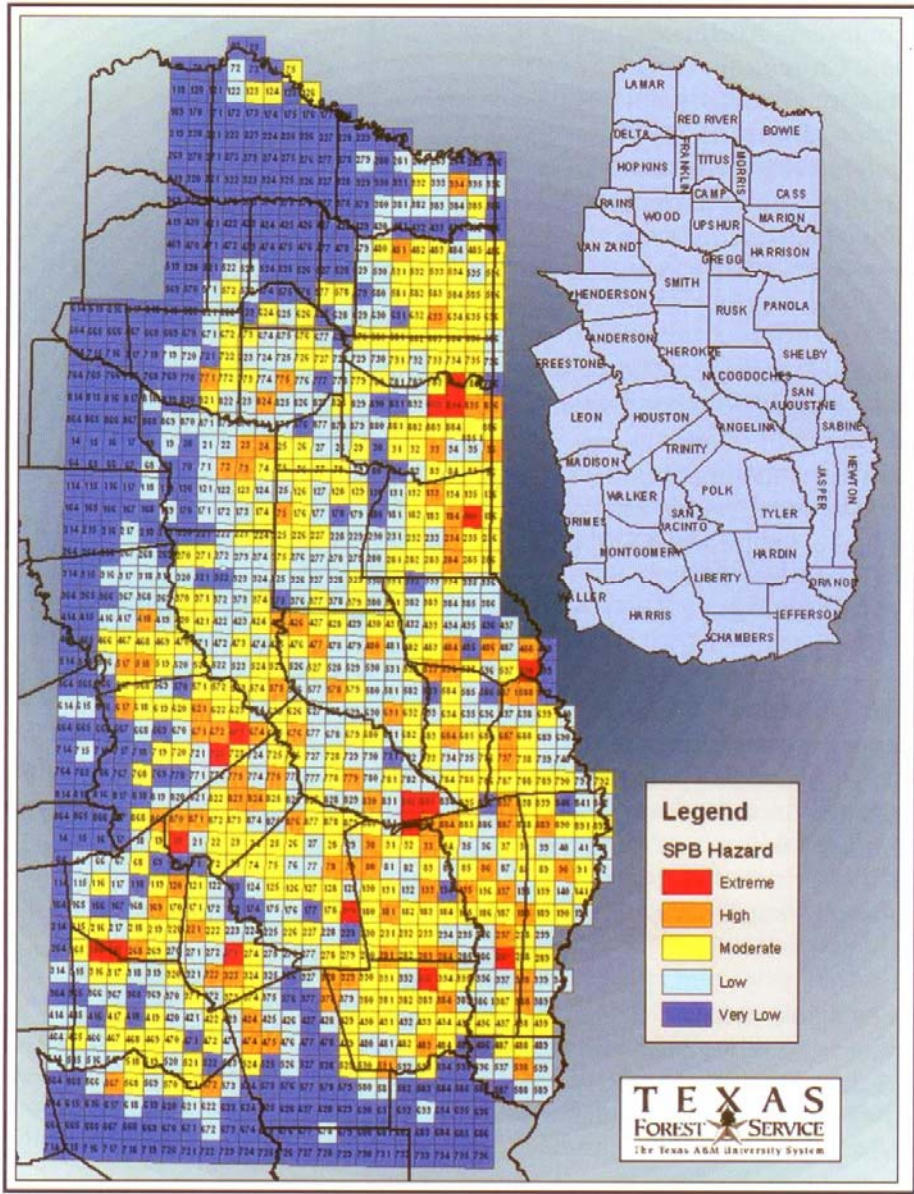
3 This figure shows a county-by county map of the south eastern US. Counties that have experienced SPB outbreaks
4 are shaded according to the number of outbreaks that they have experienced between 1961 and 2000. The map
5 shows the most affected areas (up to 26 outbreaks) are east Texas, east Mississippi Alabama and Georgia.



6

1 **Figure 2 -- Map of categorical risk in east Texas used for determining eligibility to**
 2 **Texas Forest Service cost share thinning program. The map is for 1996 and**
 3 **derived using work outlined in Billings and others (1985). Ratings from the map**
 4 **form the first component of assessing eligibility for funds (stands must be**
 5 **located in at least a moderate hazard block). The second component is based on**
 6 **a more detailed appraisal of a particular stand. Taken from document TFS**
 7 **3/6/5000.**

8 This figure shows a risk map for east Texas based on outbreak frequency. The region is divided into 8.5 x 8.5 km
 9 cells and each cell is given a risk rating based on infestation history. Risk is divided into 5 categories, extreme, high,
 10 moderate, low and very low.



1 **Figure 3 -- Excerpt from Texas Forest Service Leaflet (Circular 249) describing**
 2 **how to calculate risk (spot expansion) for stands with currently active**
 3 **infestations.**

4 This figure shows a table, distributed as a leaflet by the Texas Forest Service, that can be used to look up the amount
 5 of tree mortality expected from a SPB infestation. The table is arranged so that the initial size of the infestation and
 6 Basal area are used to determine the total tree mortality.

TABLE 1
*Additional Timber Losses To Be Expected From Spot Growth
 Over 30 Days During Summer in East Texas¹*

Number of Active Trees At Day 0 ²		Total Stand Basal Area (ft ² /acre)			
		20-60	70-110	120-160	170-210
<i>Predicted Values at Day 30</i>					
5	Additional trees killed ³	0	0	0	0
	Trees remaining active ⁴	≤ 1	≤ 1	≤ 1	≤ 1
10	Additional trees killed	0	0	2	5
	Trees remaining active	≤ 2	≤ 2	4	7
20	Additional trees killed	0	5	12	18
	Trees remaining active	≤ 4	9	16	22
30	Additional trees killed	2	12	21	30
	Trees remaining active	8	18	27	36
50	Additional trees killed	9	24	39	54
	Trees remaining active	18	33	48	63
75	Additional trees killed	16	39	62	84
	Trees remaining active	30	53	76	98
100	Additional trees killed	24	54	84	115
	Trees remaining active	43	73	103	134

¹To be used for evaluating spots in East Texas during months of June-October only.

²Number of stage 1+ stage 2 trees present when spot growth prediction is made.

³Predictions for "additional trees killed" derived from Texas Forest Service spot growth model (based on 1975 data):

$$ATK = [(0.000202 \text{ IAT} \times \text{TBA}) - 0.2211] \times 30$$

where ATK = number of additional trees killed by day 30

IAT = number of active trees at day 0

TBA = total basal area in ft²/ acre

⁴Predictions for "trees remaining active" (TRA) based on SPB developmental rate of 37 days and formula:

$$TRA = ATK + \frac{7}{37} (\text{IAT})$$

1 **Figure 4 -- Strategic map of expected losses to SPB over a 15 year period at a**
2 **resolution of 1km x 1km. Map courtesy of Krist and others (2007).**

3 This figure shows a state delineated map of the south eastern US with expected SPB damage plotted on a color scale
4 from white to deep red. This risk is plotted for 1km x 1km cells which equate to approximately a pixel at the scale of
5 the entire map, giving the map a very detailed appearance. The map is titled: "Potential Basal Area Loss Attributed
6 to Southern Pine Beetle" and forest service logos are attached giving it a very formal look.

